TO THE UNITED STATES OFFICE (DO/EO/US) UNDER 35 U.S.C. 371	Attorney Docket No. AVIOR-09657	
	U.S. Application 100/511918	
International Filing Date:	Priority Date Claimed: 30 April 2002 (30.04.02)	
	DFFICE (DO/EO/US) UNDER 35 U.S.C. 371	

Title Of Invention: Adenovirus Vectors For Immunotherapy

APPLICANT(S) FOR DO/EO/US: Jie MI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4.

 The US has been elected (Article 31).
- 5.

 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \Box is attached hereto (required only if not communicated by the International Bureau).
 - b. D has been communicated by the International Bureau.
 - c. ☑ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7.

 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. \square are attached hereto (required only if not communicated by the International Bureau).
 - b. D have been communicated by the International Bureau.
 - c. D have not been made; however, the time limit for making such amendments has NOT expired.
 - d.

 have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. \Box An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11.

 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ☐ A preliminary amendment.
- 14. ☐ An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☑ Other items or information: WO 2003/093455 with search report.

U.S. Application No. 0 / 5 1	1918	International A	Application No.	Attorney's Docket No. AVIOR-09657	
21. ☐ The following fees are submitted: Basic National Fee (37 CFR 1.492 (a) (1) - (5)): ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00				CALCULATIONS PTO USE	ONLY
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00					
 ✓ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ★ 770.00 					
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00					
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$770.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL CLAIMS	23 - 20 =	3	x \$18.00	\$54.00	
INDEPENDENT CLAIMS	3 - 3 =	0	x \$86.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$290.00	0	+ \$290.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$824.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2			\$412.00		
SUBTOTAL =			\$412.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$0.00		
TOTAL NATIONAL FEE =				\$412.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$0.00		
TOTAL FEES ENCLOSED =				\$412.00	
				Amount To Be Refunded:	\$
				Charged:	\$

U.S. Ap	oplication N. 0 / 511918	International Application No. PCT/US03/13560	Attorney's Docket No. AVIOR-09657				
a. ⊠	A check in the amount of \$\frac{\$412.00}{}\$ to cover the above fees is enclosed.						
ъ. 🗆	Please charge any my Deposit Account No. <u>08-1290</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
d. ⊠	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-1290</u> . A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
Send A	Il Correspondence To:	/	. N.				
Jason R. BOND							
MEDLEN & CARROLL, LLP							
	ward Street, Suite 350		Jason R. BOND (Reg. No. 45,439)				
	ancisco, CA 94105 415-904-6500	U	1				
	Phone: 415-904-6500 Fax: 415-904-6510 O -18-04						
		•	Date				